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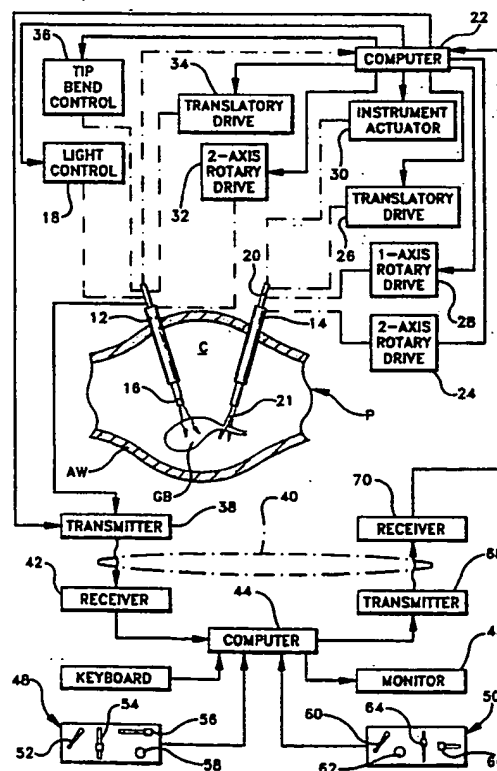


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b> <b>A61B 1/00, 1/06, A61M 37/00</b> <b>A61N 5/06</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 92/16141</b> <b>(43) International Publication Date:</b> 1 October 1992 (01.10.92)
<b>(21) International Application Number:</b> PCT/US92/02186 <b>(22) International Filing Date:</b> 17 March 1992 (17.03.92)  <b>(30) Priority data:</b> 670,720                     18 March 1991 (18.03.91)     US 682,002                     8 April 1991 (08.04.91)     US  <b>(71)(72) Applicant and Inventor:</b> WILK, Peter, J. [US/US]; 185 West End Avenue, New York, NY 10023 (US).  <b>(74) Agent:</b> SUDOL, R., Neil; Coleman & Sudol, 71 Broadway, Suite 1201, New York, NY 10006 (US).  <b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), MC (European patent), NL (European patent), SE (European patent).		<b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

**(54) Title:** AUTOMATED SURGICAL SYSTEM AND APPARATUS**(57) Abstract**

A surgical system comprises an endoscopic instrument (16), a camera on the endoscopic instrument for obtaining video images of internal body tissues inside a patient's body via the endoscopic instrument (16), and a transmitter (38) operatively connected to the camera for transmitting, over a telecommunications link (40) to a remote location beyond a range of direct visual contact with the patient's body, a video signal encoding the video image. A receiver (70) is provided for receiving actuator control signals from the remote location via the telecommunications link (40). The receiver (70) feeds the signals to a robot actuator mechanism (30) for controlling that mechanism (30) to operate a surgical instrument (21) insertable into the patient's body.



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## AUTOMATED SURGICAL SYSTEM AND APPARATUS

### Background of the Invention

This invention relates to a surgical system and a related method. More particularly, this invention relates to an endoscopic or laparoscopic surgical method and apparatus.

The advantages of laparoscopic and endoscopic surgical methods have become increasingly apparent to surgeons and to society at large. Such surgical techniques are minimally invasive, require less operating time, and reduce trauma and convalescence time required after surgery is completed. In general, noninvasive surgery using laparoscopic and endoscopic techniques will be used more and more frequently to reduce hospital and surgical costs.

In endoscopic and laparoscopic surgery, the surgeon is provided with visual information through optical fibers extending through the endoscope or laparoscope. Sometimes, the visual information is provided to the surgeon and other operating room personnel via video monitors which show images obtained by small video cameras (charge coupled devices) at the distal ends of the endoscopes or laparoscopes. Although this video information may be transmitted to other rooms in the hospital or other institutional clinical setting, the surgeon is always present in the operating room to manipulate the surgical instruments and thereby perform the surgical operation in response to the video images on a monitor.

The use of video images provides an opportunity for further reductions in the expense and time required for surgery.

### Objects of the Invention

Another, more particular, object of the present invention is to provide a method and apparatus which facilitates the performance of operations by surgeons from all over the world.

An object of the present invention is to provide a method and apparatus which reduces costs of performing surgery such as endoscopic and laparoscopic and angioscopic surgery.

### Summary of the Invention

A surgical system comprises, in accordance with the present invention, a receiver at a surgical operating station for receiving actuator control signals via a telecommunications link from a remote location beyond a range of direct

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visual contact with a patient's body at the surgical operating station. A robot actuator mechanism is operatively connected to a surgical instrument at the surgical operating station and to the receiver for actuating the surgical instrument in response to the actuator control signals received by the receiver from the remote location, whereby the surgical instrument is operated to execute a surgical operation on the patient under the control of a surgeon at the remote location.

Pursuant to another feature of the present invention, the surgical system further comprises (a) an imaging apparatus at the operating station for generating a video image of organic structure inside the patient and (b) a transmitter operatively connected to the imaging apparatus and the telecommunications link for transmitting, over the telecommunications link to the remote location, a video signal encoding the video image, whereby a surgeon at the remote location can visualize the organic structure.

According to another feature of the present invention, the imaging apparatus includes a fluoroscope. Moreover, the surgical system may further comprise means operatively connected to the instrument and the receiver for injecting a radiographic fluid into the patient via the instrument in response to a signal received by the receiver from the remote location.

Alternatively or supplementally, the imaging apparatus includes an electromagnetic imaging apparatus or a camera inserted into the patient.

Where the surgical system is used in endovascular or angioplastic surgery, the instrument takes the form of an angioplastic operating instrument including a flexible tubular member insertable into a blood vessel of patient and a device for removing a blockage in a blood vessel.

A surgical method, in accordance with the present invention, comprises the steps of (a) inserting an endoscopic instrument into a patient's body, (b) obtaining a video image of internal body tissues inside the patient's body via the endoscopic instrument, (c) transmitting, over a telecommunications link, a video signal encoding the video image to a remote location beyond a range of direct visual contact with

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the patient's body, (d) receiving actuator control signals from the remote location via the telecommunications link, (e) inserting a surgical instrument into the patient's body, and (f) automatically operating the surgical instrument in response to the received actuator control signals.

It is to be noted that the endoscopic instrument may take the form of a traditional flexible endoscope or a rigid laparoscope. In the latter case, as in all laparoscopic surgery, a body cavity of the patient is subject to pressurized air to inflate the cavity and permit manipulation of instruments so that they can move around unimpeded inside the patient.

A system and method in accordance with the present invention enables operations to be performed by leading surgeons who are not present in the operating room. Such surgeons may reside at great distances from where the operations are to be performed and may be unable to travel to reach the locations of the operations. Because travel time can virtually be eliminated, leading surgeons can perform a greater number of operations all over the globe.

Another surgical method in accordance with the present invention is used with an X-ray or fluoroscopic device and an angioplastic instrument including a tubular member and an operating tool for removing a blockage in the blood vessel. The method comprises the steps of (a) inserting the instrument into a blood vessel of a patient's body, (b) obtaining a video image of structure inside the blood vessel via the X-ray or fluoroscopic device, (c) transmitting, over a telecommunications link, a video signal encoding the video image to a remote location beyond a range of direct visual contact with the patient's body, (d) receiving actuator control signals from the remote location via the telecommunications link, and (e) automatically actuating the operating tool in response to the received actuator control signals.

Pursuant to another feature of the present invention, the operating tool includes a source for generating a laser beam and an optical fiber for guiding the laser beam from the source to a distal end of the instrument. Alternatively, the operating tool includes a blade at a distal end of

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the instrument. As another alternative embodiment of the invention, the operating tool includes an expandable balloon at a distal end of the instrument and pressurized supply for inflating the balloon inside the vessel.

Pursuant to another feature of the present invention, the step of obtaining the video image includes the step of injecting a radiographic fluid into the vessel via the instrument. Preferably, the step of injecting is performed in response to a signal received from the remote location via the telecommunications link.

Pursuant to another feature of the present invention, additional control signals are received from the remote location via the telecommunications link for controlling an automatic shifting of the angioplastic operating instrument relative to the blood vessel.

The imaging device may take the form of a fluoroscope or X-ray sensing apparatus.

Pursuant to a further feature of the present invention, the system includes a dispenser device for injecting a radiographic fluid into the vessel via the instrument in response to a signal received by the receiver from the remote location.

Pursuant to yet a further feature of the present invention, a translation drive is operatively connected to the tubular member and to the receiver for automatically shifting the tubular member relative to the blood vessel in response to a signal received by the receiver from the remote location.

#### Brief Description of the Drawing

Fig. 1 is a diagram of a remotely controlled operating system, in accordance with the present invention, for performing laparoscopic surgery

Fig. 2 is a diagram of another remotely controlled system, in accordance with the present invention, for performing angioplastic surgery.

Fig. 3 is a diagram of a modified portion of the system of Fig. 2.

Fig. 4 is a diagram of a portion of the system of Fig. 2, modified in another way.

#### Detailed Description



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As illustrated in Fig. 1, a patient P undergoing laparoscopic surgery, for example, removal of a gall bladder GB, has an internal body cavity C pressurized with air to distend the abdominal wall AW. The abdominal wall is pierced with a trocar (not shown) and a plurality of hollow tubes 12 and 14 are inserted through the abdominal wall to provide passage for the operating instruments. One such instrument is an endoscopic type device, namely, a laparoscope 16 which includes an optical fiber (not illustrated) for delivering optical radiation OR from a light source or control component 18 to the surgical site. Another instrument takes the form of a forceps instrument 20 or other device for manipulating and/or severing internal body tissues such as gall bladder GW.

Forceps instrument 20 includes a pair of forceps jaws 21 whose position inside body cavity C is controlled by a computer 22 via a two-axis rotary drive 24 and a translatory drive 26. Rotary drive 24 is operatively connected to tube 14 for pivoting the tube at its point of penetration through abdominal wall about two axes of rotation. In response to signals from computer 22, translatory drive 26 slides forceps instrument 20 longitudinally through tube 14.

The orientation of forceps jaws 21 is controlled by computer 22 via a one- or two-axis rotary drive 28, while forceps jaws 21 are alternately opened and closed by an actuation mechanism 30 in response to control signals from computer 22.

The position of a distal tip of laparoscope 16 inside body cavity C is controlled by computer 22 via a two-axis rotary drive 32 mechanically linked to tube 14 and a translatory drive 34 operatively coupled with laparoscope 16. Translatory drive 34 varies the degree of insertion of laparoscope 16 through tube 12, while rotary drive 32 swings tube 12 about two axes of rotation.

The intensity and/or the hue of optical radiation OR is controlled by computer 22 via light source or control component 18. In addition, in the event that laparoscope 16 is flexible, the curvature of the distal end portion of the laparoscope is modifiable by computer 22 via a bend control component 36.

Laparoscope 16 incorporates a charge coupled device

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(not illustrated) for converting optical incoming optical radiation, reflected from internal body tissues inside cavity C, to a video signal. That video signal, encoding a video image, is transmitted from laparoscope 16 to a transmitter 38 and optionally to computer 22.

Transmitter 38 in turn transmits the video signal over a telecommunications link 40 to a remote receiver 42 which relays the video signal to another computer 44. Computer 44 uses the incoming video signal to display on a monitor 46 an image of the internal body tissues of patient P.

Connected to computer 44 are at least two sets of input devices 48 and 50 operated by a surgeon to remotely control a surgical procedure. More specifically, input device 48 includes a joy stick 52 for controlling the operation of rotary drive 32, a slide switch 54 for controlling the operation of translatory drive 34, another slide switch 56 for controlling light source or control component 18 to modify light intensity, and a dial or knob 58 for controlling bend control component 36 to change the angle of inclination of the distal end portion of laparoscope 16.

Input device 50 includes a joy stick 60 for controlling the operation of rotary drive 24, a dial or knob 62 for controlling rotary drive 28, a slide switch 64 for controlling translatory drive 26, and another slide switch 66 for controlling instrument actuator 30.

Signals from input devices 48 and 50 are encoded by computer 44 and sent to computer 22 via a transmitter 68, telecommunications link 40, and a receiver 70. Computer 22 then uses the incoming signals to provide control signals to the various drives and other components at the site of the surgery.

It is to be understood, of course, that surgeons and other personnel are present in the operating room at the time of surgery to oversee and supervise the proper operation of the equipment. These personnel may communicate with the remote surgeon via computers 22 and 44 and telecommunications link 40 and/or through other telecommunications linkages such as the telephone network. To facilitate local supervision, computer 22 is connected to a local monitor (not shown) for

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displaying the video images garnished by laparoscope 16 and, for example, for displaying alphanumeric codes indicating the positions and operating statuses of the instruments, e.g., light source or control component 18 and forceps instrument 20. Such information may also be transmitted by computer 22 to computer 44 over transmitter 38, link 40 and receiver 42 and displayed on monitor 46. Other parameters regarding the condition of patient P, such as temperature, heart rate, oxygen consumption, brain wave activity, and blood sugar level, may also be automatically sensed, encoded and transmitted to remote computer 44 for providing the lead surgeon in real time with all information necessary for performing the surgery successfully.

As illustrated in Fig. 2, a tubular member 102 of an angioplastic operating instrument 104 is inserted into a blood vessel 106 of a patient. At a distal end, instrument 104 includes a rotary blade 108 operatively linked to a drive 110 via a transmission member 112. Rotary drive 110 is operated or energized under the control of a computer 114.

At its distal end, instrument 104 is further provided with an opening 116 for injecting into vessel 106 a radiographic or radio-imaging fluid from a source 118. The injection operation is implemented by a pump 120 in response to actuating signals from computer 114.

Computer 114 controls the degree that tubular member 102 is inserted into vessel 106 by actuating a translatable drive 122 operative coupled to the tubular member.

The location of a blockage 124 inside vessel 106 is detectable via an electromagnetic imaging device 126 exemplarily taking the form of an X-ray detector 128 receiving X-rays from a source 130 via that part of the patient including vessel 106 and blockage 124. Blockage 124 is highlighted through the injection of the radio-imaging fluid from source 118. Alternatively, the radio-imaging fluid may be radioactive, electromagnetic imaging device 126 taking the form of a fluoroscope.

Upon the insertion of tubular member 102 into vessel 106, electrical signals encoding video images of structure inside vessel 106, such as blockage 124, are produced by a

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signal generator 131 connected at an output of X-ray detector 128. The video signals are sent via a transmitter 132 and a telecommunications link 134 to a remote receiver 136 which relays the video signal to a computer 138. Computer 138 uses the incoming video signal to display on a monitor 140 an image of structure internal to vessel 106. Transmitter 132, telecommunications link 134 and receiver 136 are also used to transmit data from local computer 114 to remote computer 138.

A keyboard 142 and an optional switchboard or console 144 are connected to computer 138 for enabling a surgeon at a remote location to control the operation of instrument 104. More particularly, console 144 includes a toggle switch 146 for controlling the operation of rotary drive 110, a knob 148 for controlling the operation of pump 120, and a slide switch 150 for determining the distance that tubular member 102 is inserted in vessel 106. The remote surgeon manipulates switches 146 and 150 and knob 148 in response to video images on monitor 140. Those images are themselves changed by the surgeon by shifting tubular member 102 further along vessel 106 and by periodically injecting radio-imaging fluid into the vessel from source 118.

Fig. 3 illustrates a modification of the system of Fig. 2 wherein the surgical tool in a tubular angioplastic surgical member 102' takes the form of an optical fiber 152 for guiding a laser beam from a source 154 to an exit 156 at the distal end of the tubular member. Fig. 4 depicts an alternative modification wherein the blockage removal tool takes the form of an inflatable balloon or bladder 158 disposed at a distal end of an angioplastic surgical member 102". Bladder 158 is expanded by pressure released from a pressurized gas source 160 by opening a valve 162 in response to signals from computer 114. Of course, computer 114 operates in response to signals from computer 138.

As discussed hereinabove with reference to Fig. 1, it is understood that surgeons and other personnel are present in the operating room at the time of surgery to oversee and supervise the proper operation of the equipment. These personnel may communicate with the remote surgeon via computers 114 and 138 and transmitters 132 and 164, receivers 136 and

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166, and telecommunications link 134 and/or through other telecommunications linkages such as the telephone network. To facilitate local supervision, computer 114 is connected to a local monitor 168 for displaying the video images obtained by electromagnetic imaging device 126 and, for example, for displaying alphanumeric codes indicating the positions and operating statuses of the instruments. Such information may also be transmitted by computer 114 to computer 138 over transmitter 132, link 134 and receiver 136 and displayed on monitor 140. Other parameters regarding the condition of patient P, such as temperature, heart rate, oxygen consumption, brain wave activity, and blood sugar level, may also be automatically sensed, encoded and transmitted to remote computer 138 for providing the lead surgeon in real time with all information necessary for performing the surgery successfully.

Although the invention has been described in terms of particular embodiments and applications, one of ordinary skill in the art, in light of this teaching, can generate additional embodiments and modifications without departing from the spirit of or exceeding the scope of the claimed invention. For example, other kinds of optically guided surgery may be performed from a remote location via the computer aided automation of the instant invention. Accordingly, it is to be understood that the drawings and descriptions herein are proffered by way of example to facilitate comprehension of the invention and should not be construed to limit the scope thereof.

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CLAIMS:

1. A surgical system comprising:  
receiver means at a surgical operating station for receiving actuator control signals via a telecommunications link from a remote location beyond a range of direct visual contact with a patient's body at the surgical operating station;  
a surgical instrument insertable into the patient's body; and  
robot actuator means operatively connected to said surgical instrument and said receiver means for actuating said surgical instrument in response to the actuator control signals received by said receiver means from said remote location, whereby the surgical instrument is operated to execute a surgical operation on the patient under the control of a surgeon at said remote location.
2. The system defined in claim 1, further comprising:  
imaging means at said operating station for generating a video image of organic structure inside the patient; and  
transmission means operatively connected to said imaging means and said telecommunications link for transmitting, over said telecommunications link to said remote location, a video signal encoding said video image, whereby a surgeon at said remote location can visualize said organic structure.
3. The system recited in claim 2 wherein said imaging means includes a fluoroscope.
4. The system recited in claim 2, further comprising means operatively connected to said instrument and said receiver means for injecting a radiographic fluid into the patient via said instrument in response to a signal received by said receiver means from said remote location.
5. The system recited in claim 2 wherein said imaging means includes an electromagnetic imaging apparatus.

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6. The system recited in claim 2 wherein said imaging means includes a camera inserted into the patient.

7. The system recited in claim 1 wherein said surgical instrument is an angioplastic operating instrument including a flexible tubular member insertable into a blood vessel of patient, said instrument further including means for removing a blockage in said blood vessel.

8. The system recited in claim 7, further comprising means operatively connected to said instrument and said receiver means for automatically shifting said tubular member relative to said blood vessel in response to a signal received by said receiver means from said remote location.

9. A surgical method, comprising the steps of:  
inserting an endoscopic instrument into a patient's body;

obtaining a video image of internal body tissues inside said patient's body via said endoscopic instrument;  
transmitting, over a telecommunications link, a video signal encoding said video image to a remote location beyond a range of direct visual contact with said patient's body;

receiving actuator control signals from said remote location via said telecommunications link;

inserting a surgical instrument into the patient's body; and

automatically operating said surgical instrument in response to the received actuator control signals.

10. The method recited in claim 9 wherein said endoscopic instrument includes a laparoscope, further comprising the step of inflating a body cavity of the patient.

11. A surgical method, comprising the steps of:  
inserting a flexible tubular instrument into a blood vessel of a patient's body, said tubular instrument being pro-

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vided with operating means for removing a blockage in said blood vessel;

obtaining a video image of structure inside said blood vessel;

transmitting, over a telecommunications link, a video signal encoding said video image to a remote location beyond a range of direct visual contact with said patient's body;

receiving actuator control signals from said remote location via said telecommunications link; and

automatically actuating said operating means in response to the received actuator control signals.

12. The method recited in claim 11 wherein said operating means includes source means for generating a laser beam and an optical fiber for guiding said laser beam from said source means to a distal end of said instrument.

13. The method recited in claim 11 wherein said operating means includes a blade at a distal end of said instrument.

14. The method recited in claim 11 wherein said operating means includes an expandable balloon at a distal end of said instrument and means for inflating said balloon inside said vessel.

15. The method recited in claim 11 wherein said step of obtaining includes the steps of injecting a radiographic fluid into said vessel via said instrument and generating an image via an X-ray or fluoroscopic device.

16. The method recited in claim 15 wherein said step of injecting is performed in response to a signal received from said remote location via said telecommunications link.

17. The method recited in claim 11, further comprising the steps of receiving additional control signals from said remote location via said telecommunications link and



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automatically shifting said instrument relative to said blood vessel in response to said additional control signals.

FIG-1

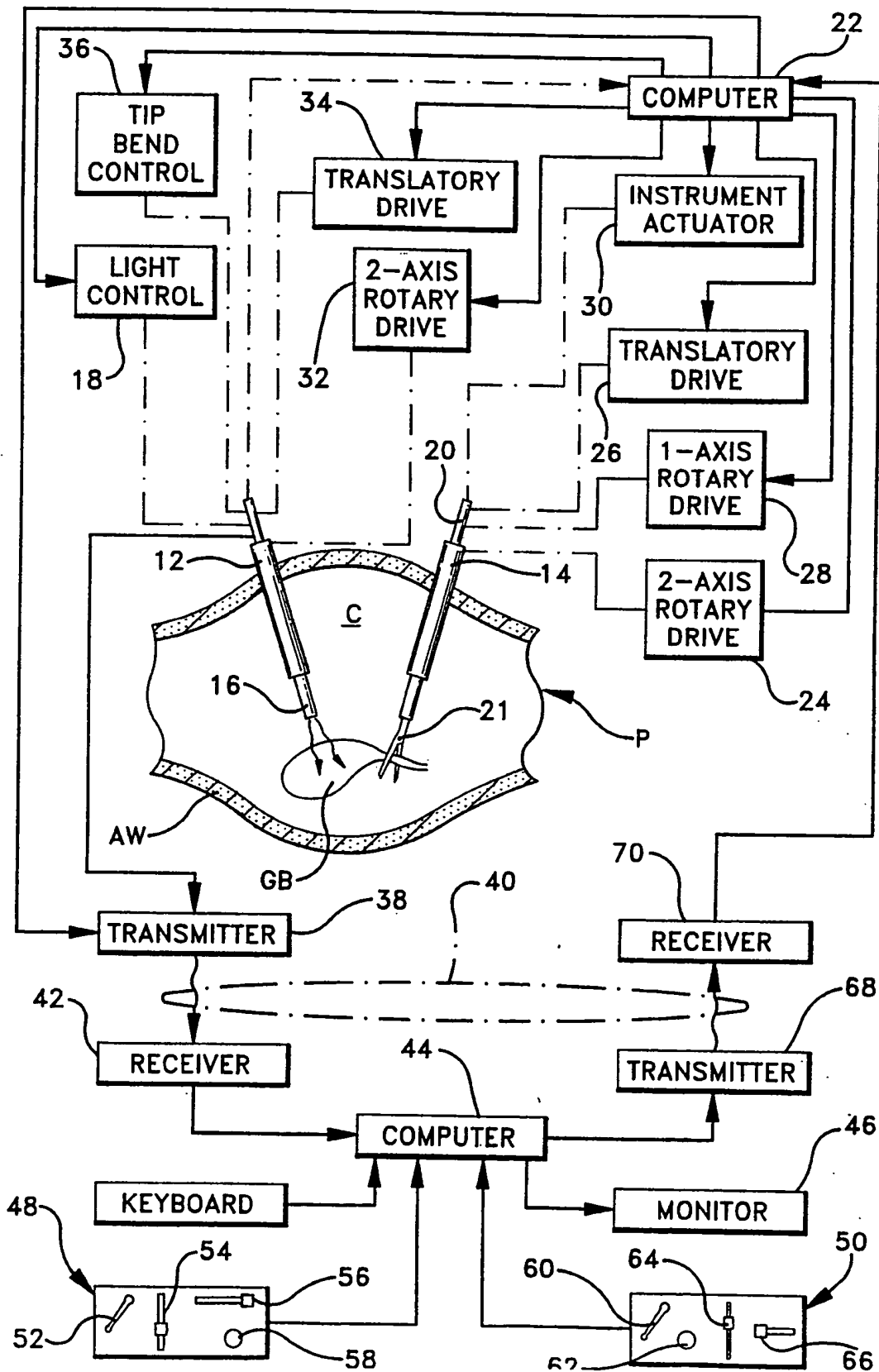


FIG-2

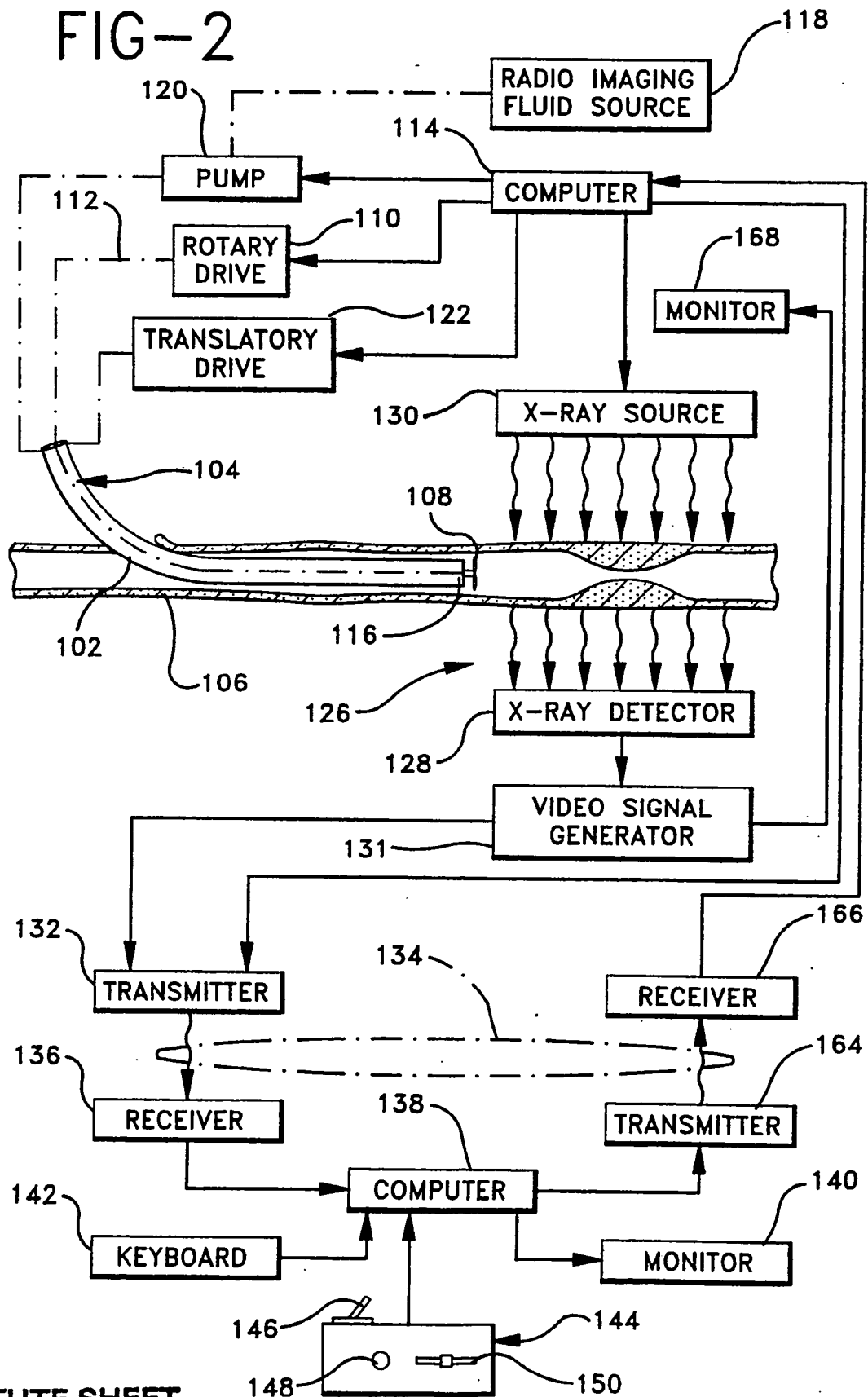


FIG-3

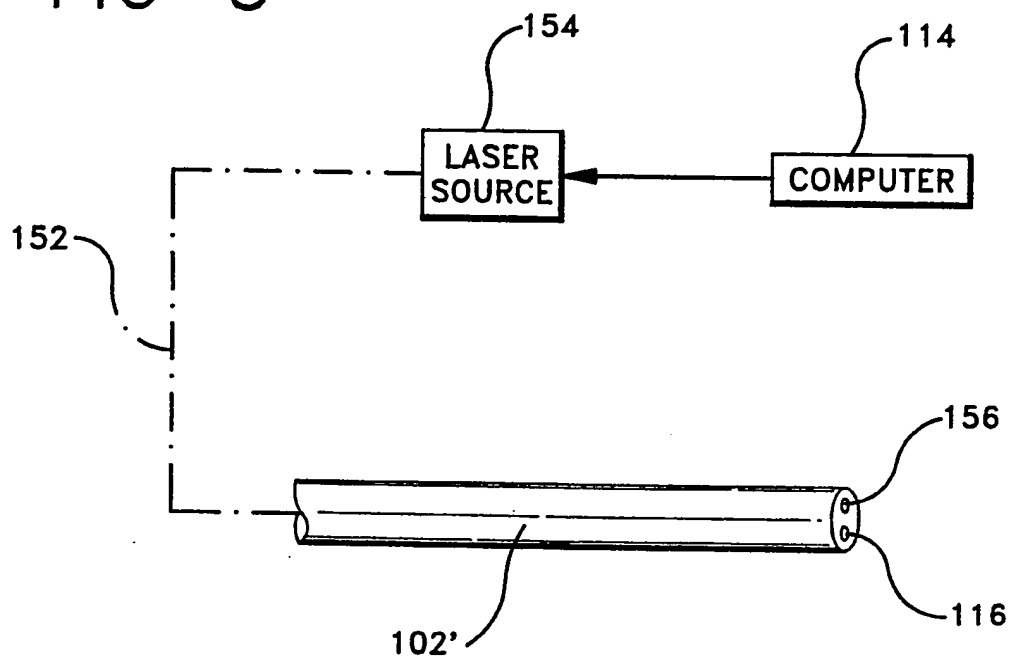
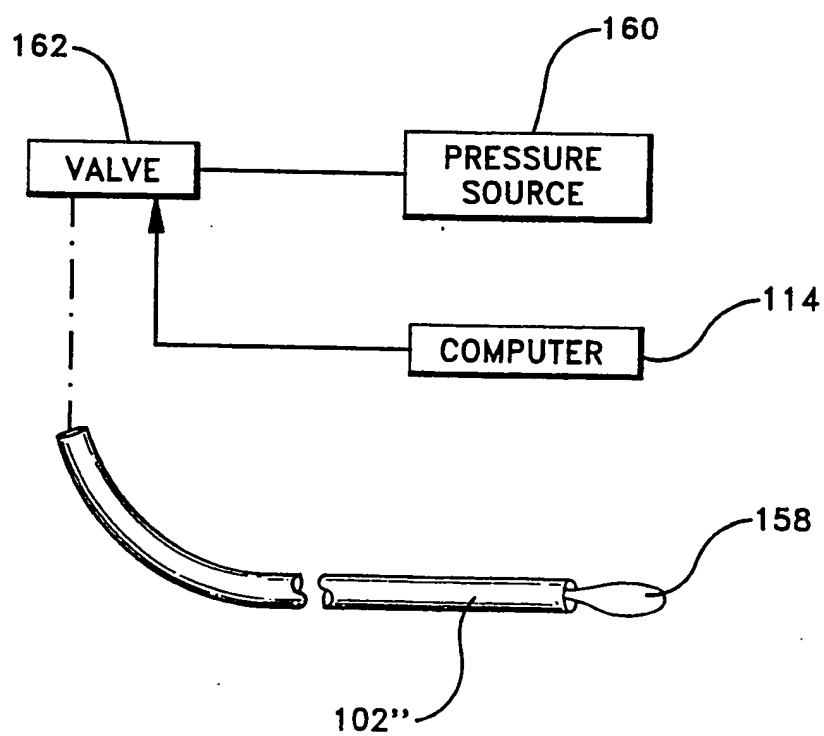


FIG-4



# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US92/02186

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :A61B 1/00,1/06, A61M 37/00, A61N 5/06

US CL :128/4,6; 604/95; 606/7

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 606/159,180; 604/159

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
<input checked="" type="checkbox"/> X Y	US, A, 4,788,975 (SHTURMAN ET AL.) 06 December 1988, See the entire document.	1,2,3,5,7, 8,9,11,12, 17 4,6,13,14, 15,16,10
Y	US, A, 4,790,813 (KENSEY) 13 December 1988, See col. 5, lines 27-36, 45-50.	4,13,15,16
Y	US, A, 4,875,897 (LEE) 24 October 1989, See col. 2, lines 5-13.	6,14,10
A	US, A,4,601,705 (McCOY) 22 July 1986, See the entire document.	1-17
A	US, A, 4,785,806 (DECKELBAUM) 22 November 1988, See the entire document.	1-17
A,P	US, A, 5,078,714 (KATIMS) 07 January 1992, See the entire document.	1-17
A,E	US, A, 5,125,888 (HOWARD ET AL.) 30 June 1992, See the entire document.	1-17
A	US, A, 4,887,605 (ANGELESEN ET AL.) 19 December 1989, See the entire document.	1-17

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US92/02186

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 4,974,607 (MIWA) 04 December 1990, See the entire document.	1-17
A,E	US, A, 5,104,392 (KITTRELL ET AL.) 14 April 1992, See the entire document.	1-17
A,P	EP, A, 0,467,459 (HELPER) 22 January 1992, See the entire document.	1-17
A	WO, A, 91/01687 (BARKEN) 21 February 1991, See the entire document.	1-17